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Box Patent Application Assistant Commissioner for Patents Washington, District of Columbia 20231 Mailed 199 MARCH 22, 2004



Fee Transmittal

| | First-Name | ned Applicant 11) ark 5, Lenney | | |
|--|--------------|--|------|--|
| | Title of Inv | vention: " FINANCIAL REGIME-SWITCHING VECTOR AUTO-REGIRESS | ON | |
| | | ment Enclosed (From Calculation Below): \$ 428.00 💢 Check 🗆 Money Order | | |
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| | Sir: | · | | |
| | Enclosed | is the following small entity filing fee for the above patent application: | | |
| | Fee Cod | e Fee Description Fee (\$) | | |
| | 214 | Provisional Pat. Appn. Filing Fee | | |
| | 201 | Basic Utility Appn. Filing Fee 385.00 | | |
| | 206 | Basic Design Appn. Filing Fee | | |
| | | Subtotal (1) <u>3.8.5.0</u> 0 | | |
| | | | | |
| | 203 | Total Claims:20 = ; X (fee for each claim over 20) = O | | |
| | 202 | Tot. Indep. Claims $4 - 3 = 1$; $x 43$ (fee for each indep. claim over 3) = 43.00 | | |
| | | Subtotal (2) <u>428.00</u> | | |
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| Very respectfully, Signature of First-Named Applicant | | | | |
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Mailed 199: MARCH 22, 2004

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| Assistant Commissioner for Patents | | | |
| Washington, District of Columbia 20231 | | | |
| Sir: | | | |
| Please file the following enclosed patent application papers: | | | |
| Applicant #1, Name: MARK S. TENA | JEY | | |
| Applicant #2, Name: | | | |
| Title: FINANCIAL REGIME - SWITCH | UNG VECTOR AUTO-REGRESSIO | | |
| Specification, Claims, and Abstract: Nr. of Sheets 173 | THE ORIE SS 10 | | |
| Declaration: Date Signed: March 22, 2004 | i. | | |
| Drawing(s): Nr. of Sheets Enc.: Formal: Informal: | · · | | |
| ☐ Small Entity Declaration of Inventor(s) ☐ SED of Non-Inventor / Assignee / Licensee | | | |
| Assignment enclosed with cover sheet and recordal fee; please record and return. | | | |
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| s additional if Assignment is end | closed for recordal. | | |
| Information Disclosure Statement, Form PTO-1449, and listed re | elerences. | | |
| Disclosure Document Program reference letter. | | | |
| Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. | | | |
| filed | The second of th | | |
| Return Receipt Postcard Addressed to Applicant #1. | | | |
| Request Under MPEP § 707.07(J): The undersigned, a pro s | Se annicant respectfully requests that if the Figures (i.e. | | |
| and a solver matter discussed in this application, but leels | that Applicant's present claims are not entirely suitable, the | | |
| Examiner draft one or more allowable claims for applicant. | the state of the citation suitable, the | | |
| Very respectfully, | | | |
| m 1/ 1 | | | |
| Applicant /1 Signature | | | |
| | Applicant /2 Signature | | |
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